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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/776,903	02/06/2001	Hiroshi Sasaki	31869-169570	2920

7590 11/12/2003

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WASHINGTON, DC 20005

EXAMINER

ABEBE, DANIEL DEMELASH

ART UNIT	PAPER NUMBER
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2655

DATE MAILED: 11/12/2003

8

Please find below and/or attached an Office communication concerning this application or proceeding.

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## Office Action Summary

Application No.

09/776,903

Applicant(s)

SASAKI ET AL.

Examiner

Daniel D Abebe

Art Unit

2655

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-13 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-4 and 6-13 is/are rejected.
- 7) ☒ Claim(s) 5 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

### Priority under 35 U.S.C. §§ 119 and 120

- 13) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

### Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 2,7.
- 4) ☐ Interview Summary (PTO-413) Paper No(s) \_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

## DETAILED ACTION

### *Claim Rejections - 35 USC § 102*

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1-4 and 6-13 are rejected under 35 U.S.C. 102(e) as being anticipated by Murashima (6,088,667).

As to claim 1, Murashima teaches a method for vector quantizing speech signal using code vectors, represented in frames by generating samples and framing the samples into groups and performing the conventional prediction error coding, where the prediction error coding includes, storing the prediction value of the past sample ("substituting for and providing prediction coefficient matrix of the immediately preceding frame for prediction coefficient matrix of the present frame") and using this value for predicting the value for the current frame sample that will be subtracted from the actual value (Fig.1; Col.4, lines 11-28; Col.5, lines 28-35).

As to claim 2, Murashim teaches where the prediction value of the preceding frame samples are loaded in to a second memory (214) and utilized for predicting the current frame value.

As to claim 3, Murashim teaches where some operation is performed on the values at the prediction coefficient calculator (Fig.1, 212; Col.10, lines 32-40).

As to claim 4, the samples in Murashim are divided in to plurality of blocks constituting frames with certain number of samples starting with the first sample and ending with the last sample N and having a middle point ( $N/2$ ).

As to claim 6 Murashin teaches where the prediction coefficient matrix is obtained by using a decoded signal. (Col.6, lines 56-58).

Claims 7-10 are apparatus claims analogous to the claims addressed above and are rejected for the foregoing reasons by Murashin.

As to claims 11-13 in addition to the prediction error coding steps addressed above, Murahim teaches where the prediction value of the immediately preceding frame is used without making any prediction calculation when the input speech signal can be readily predicted in a plurality of continuous frames, thus reducing computational effort extent (Col.5, lines 28-35) and plurality of memories (having input output registers) for performing the prediction for a subsequent frame (Fig.1).

#### ***Allowable Subject Matter***

Claim 5 is objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

The following is a statement of reasons for the indication of allowable subject matter:

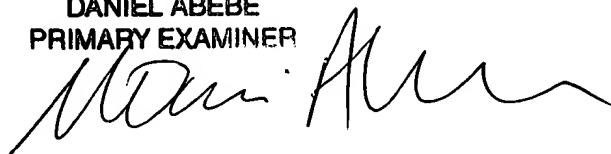
Claim 5 is allowable because Murashin doesn't teach the prediction performed predicts that all sample value in the frame are equal to the first value sample in the frames.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Daniel D Abebe whose telephone number is 703-308-5543. The examiner can normally be reached on monday-friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Doris To can be reached on 703-305-4827. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-306-0377.

DANIEL ABEBE  
PRIMARY EXAMINER

A handwritten signature in black ink, appearing to read 'Daniel Abebe', written over the printed name and title.

November 3, 2003